

**HOGAN & HARTSON L.L.P.**

500 SOUTH GRAND AVENUE  
SUITE 1900  
LOS ANGELES, CA 90071

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Tel.: (213) 337-6700  
Fax: (213) 337-6701

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NOV 10 2003

**OFFICIAL**

**IMPORTANT NOTICE**  
**TELECOPY/FACSIMILE COVER LETTER**

**TO:** Examiner Alana M. Harris  
Group Art Unit 1642  
Commissioner for Patents

**DATE:** 11/10/2003

**FROM:** Barry M. Shuman

**TIME:** 12:35 PM

**TOTAL NO. OF PAGES, INCLUDING COVER:** 16

The attached information is **CONFIDENTIAL** and is intended only for the use of the addressee(s) named above. If the reader of this message is not the intended recipient(s) or the employee or agent responsible for delivering the message to the intended recipient(s), please note that any dissemination, distribution or copying of this communication is strictly prohibited. Anyone who receives this communication in error should notify us immediately by telephone and return the original message to us at the above address via the U.S. Mail.

**MESSAGE: (900 CHARACTER LIMIT)**

U.S. Patent Application Serial No. 09/478,977  
Inventor: Peter C. BROOKS  
Fil d: January 6, 2000  
Title: METHOD AND COMPOSITION FOR ANGIOGENESIS INHIBITION

PLEASE SEE THE ATTACHED PETITION AND SUPPORTING DOCUMENTS.

Thank you,  
Barry M. Shuman

**FOR INTERNAL PURPOSES ONLY**

**TELECOPY/FAX NUMBER:** (703) 892-9306  
**CLIENT NUMBER:** 89188.0007  
**ATTORNEY BILLING NUMBER:** 2758  
**CONFIRMATION NUMBER:** (703) 308-1234

Application Serial No. 09/478,977

PATENT  
13761-727 (89188.0007)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOV 10 2003

OFFICIAL

In re application of

Peter C. BROOKS

Serial No: 09/478,977

Filed: January 6, 2000

For: METHOD AND COMPOSITION  
FOR ANGIOGENESIS INHIBITION

Art Unit: 1642

Examiner: Harris, Alana M.

I hereby certify that this correspondence  
is being transmitted via facsimile ((703)  
308-4242) to :  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450, on  
November 10, 2003  
Date of Deposit  
Barry M. Shuman, Reg. No. 50,220  
Name  
*Barry M. Shuman* 11/10/2003  
Signature Date

**PETITION TO RESET A PERIOD FOR REPLY  
DUE TO LATE RECEIPT OF AN OFFICE  
ACTION UNDER MPEP §710.06**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Applicants are in receipt of an Office Action *dated May 13, 2003* in connection with the above-identified application, which was *faxed* to the applicants on *November 7, 2003* by Julia K. Batts of the Technology Center 1600. A copy of the facsimile cover sheet is included. Also, included is a copy of the front page of the Office Action as faxed, which shows the date and the time the fax was received by applicants at the correspondence address (Exhibit A).

On October 23, 2002, applicants filed Continuing Prosecution Application containing the following new correspondence address (see Exhibit B, page 4, item 10):

Application Serial No. 09/478,977

PATENT  
13761-727 (89188.0007)

William E. Thomson, Jr.  
Hogan & Hartson, L.L.P.  
Biltmore Tower  
500 South Grand Avenue, Suite 1900  
Los Angeles, CA 90071  
Telephone: (213) 337-6700  
Fax: (213) 337- 6701

The postcard evidencing the receipt of the CPA request with the request for address change is enclosed (see Exhibit B, page 1). On March 12, 2003, PTO mailed the Corrected Filing Receipt acknowledging CPA to the old correspondence address at McCutchen, Doyle, Brown, and Enersen LLP (Exhibit C, page 1). McCutchen forwarded the Corrected Filing Receipt to the attorney for the applicants at the new correspondence address on March 19, 2003 (Exhibit C, page 3). The Notice of Publication of Application dated June 19, 2003 was also mailed to McCutchen. McCutchen forwarded the Notice to the attorney for the applicants at the new correspondence address on July 21, 2003 (Exhibit C, page 4).

On October 31, 2003, while conducting a routing review of the file, the attorney for the applicants discovered that over a year has elapsed since the CPA filing and no Office Action has been received. The search of the Patent Application Information Retrieval has revealed that an Office Action was mailed on May 13, 2003 (Exhibit D, page 1). Attorney for the applicants contacted McCutchen to find out whether the Office Action has been received at the old mailing address. Edwina Felini of McCutchen checked the files and did not find any records reflecting a receipt of the Office Action. She also noted that it is their normal business practice to promptly forward all correspondence from the PTO to the new attorney in the

Application Serial No. 09/478,977

PATENT  
13761-727 (89188.0007)

cases that they are not handling anymore. At the request of the attorney for the applicants, on *November 7, 2003*, Julia K. Batts of the Technology Center 1600 faxed the Office Action of May 13, 2003.

The non-extendible six-month-period for reply to the Office Action of May 13, 2003 ends on November 13, 2003, which is less than a week from the date the Office Action was received by the applicants for the first time. Since a substantial portion of the set reply period had elapsed on November 7, 2003, applicants respectfully request the Examiner to reset the time for responding to the Office Action.

Applicants believe that the mailing date of the Office Action should be reset to November 7, 2003 with the six-month period for reply ending on May 7, 2004.

Applicants ask the Examiner to confirm the grant of this petition by fax to (213) 337- 6701 at the Examiner's earliest convenience but before the six-month due date of November 13, 2003.

Respectfully submitted,

HOGAN &amp; HARTSON L.L.P.

Dated: November 10, 2003

By: Barry M. Shuman  
Barry M. Shuman, Ph.D.  
Registration No. 50,220

Biltmore Tower  
500 South Grand Avenue, Suite 1900  
Los Angeles, California 90071  
Telephone: 213-337-6700  
Fax: 213-337-6701

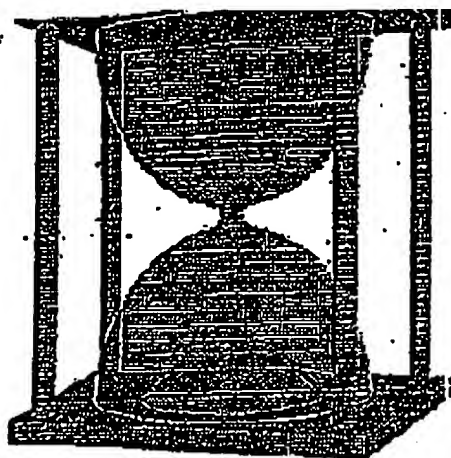


Exhibit A  
page 1 of 2

FACSIMILE COVER SHEET

Technology Center 1600.

DATE: Friday, November 7, 2003

ATTN: Vivian Gutierrez

Fax Number: 213/337-6701

FROM: Julia F. Batts

Fax Number

NUMBER OF PAGES 11 INCLUDING THIS PAGE

REMARKS: Hi Vivian, regarding the message to the examiner, do you have a postcard receipt showing your initial mailing of the change of address, because that's all you need.

IF YOU HAVE NOT RECEIVED ALL PAGES OF THIS TRANSMISSION, PLEASE

CONTACT Julia Batts AT 703/308-1234

Exhibit A  
page 2 of 2



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
P.O. Box 1400  
Alexandria, Virginia 22313-1400  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
05/473,977	01/06/2000	PETER C. DROOKS	73761-727	2450

7390 05/13/2003  
RAJIV YADAV  
MCCUTCHEN DOYI, F. BROWN & ENERSEN LLP  
THREE EMBARCADERO CENTER  
SAN FRANCISCO, CA 94111

EXAMINER
----------

HARRIS, ALANA M

ART UNIT	PAPER NUMBER
----------	--------------

1647

DATE MAILED: 01/13/2003

2-2

Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-58C (Rev. 07-01)

Received Nov-07-03 12:10pm

From-7039057230

To-Hogan & Hartson L.L.

Page 002

Exhibit B  
page 1 of 4

Method and Composition for Angiogenesis Inhibition  
(Client Name) University of Southern California  
The Following due 10-23-02 in the U.S. Patent and Trademark office was received in the Patent & Trademark Office on the date stamped hereon:

<input type="checkbox"/> Amendment	Drawing: # of Sheets _____
<input type="checkbox"/> Preliminary Amendment	<input type="checkbox"/> Formal <input type="checkbox"/> Informal
<input type="checkbox"/> PCT Application Including	<input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> Pages Spec. _____ Page Abstract _____ Claims	<input type="checkbox"/> Letter Re _____
<input type="checkbox"/> Application for Patent Including	<input type="checkbox"/> Notice of Appeal
<input type="checkbox"/> Pages Spec. _____ Page Abstract _____ Claims	<input type="checkbox"/> Petition for _____
<input type="checkbox"/> Declaration, Affidavit of Oath ( _____ Page(s))	<input type="checkbox"/> Advance soft copy order
<input type="checkbox"/> Assign. Ck No. _____ for \$ _____	<input type="checkbox"/> Ck. No. _____ For \$ _____
<input type="checkbox"/> Verified Statement	<input type="checkbox"/> IDS: _____ References
<input type="checkbox"/> Letter of Transmittal	<input type="checkbox"/> Certified Copy# of docs
<input type="checkbox"/> Amendment Under 37 C.F.R.1.312 (a)	<input checked="" type="checkbox"/> Priority
<input type="checkbox"/> Check No. _____ for \$ _____	<input checked="" type="checkbox"/> <u>Continues Prosecution Application</u>
<input type="checkbox"/> Check No. _____ for \$ _____	<input checked="" type="checkbox"/> <u>(CPA) Request Transmittal</u>
<input type="checkbox"/> Check No. _____ for \$ _____	<input checked="" type="checkbox"/> <u>Certificate of Mailing</u>

EL71581712BUS

S.N. \_\_\_\_\_ File No. 09188-0007 Date Mailed 10/23/02 By: WPT/wj/02  
Title Method and Composition for Angiogenesis Inhibition  
(Client Name) University of Southern California  
The Following due 10-23-02 in the U.S. Patent and Trademark office was received in the Patent & Trademark Office on the date stamped hereon: UC95

<input type="checkbox"/> Amendment	Drawing: # of Sheets _____
<input type="checkbox"/> Preliminary Amendment	<input type="checkbox"/> Formal <input type="checkbox"/> Informal
<input type="checkbox"/> PCT Application Including	<input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> Pages Spec. _____ Page Abstract _____ Claims	<input type="checkbox"/> Letter Re _____
<input type="checkbox"/> Application for Patent Including	<input type="checkbox"/> Notice of Appeal
<input type="checkbox"/> Pages Spec. _____ Page Abstract _____ Claims	<input type="checkbox"/> Petition for _____
<input type="checkbox"/> Declaration, Affidavit of Oath ( _____ Page(s))	<input type="checkbox"/> Advance soft copy order
<input type="checkbox"/> Assign. Ck No. _____ for \$ _____	<input type="checkbox"/> Ck. No. _____ For \$ _____
<input type="checkbox"/> Verified Statement	<input type="checkbox"/> IDS: _____ References
<input type="checkbox"/> Letter of Transmittal	<input type="checkbox"/> Certified Copy# of docs
<input type="checkbox"/> Amendment Under 37 C.F.R.1.312 (a)	<input type="checkbox"/> Priority
<input type="checkbox"/> Check No. _____ for \$ _____	<input checked="" type="checkbox"/> <u>Continues Prosecution Application</u>
<input type="checkbox"/> Check No. _____ for \$ _____	<input checked="" type="checkbox"/> <u>(CPA) Request Transmittal</u>
<input type="checkbox"/> Check No. _____ for \$ _____	<input checked="" type="checkbox"/> <u>Certificate of Mailing</u>

RECEIVED  
OCT 29 2002

Exhibit B  
page 2 of 4

PATENT

Attorney Docket No: 89188.0008 (formerly 13761-727)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Peter C. Brooks

Serial No:

Filed: Herewith

For: METHOD AND COMPSOTION FOR ANGIOGENESIS  
INHIBITION

Art Unit:

Examiner:

**CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL**  
 "Express Mail" Mailing Label No. EL715817126US  
 Date of Deposit: October 23, 2002

Box CPA  
 Commissioner for Patents  
 Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal for Continued Prosecution Application (CPA) Request
- ☐ check in amount of \$ [AMT OF FILING FEE] as filing fee
- ☐ patent application ( [# OF PG(S) OF SPEC] page(s) of specification; [# OF CLAIM(S)] claim(s); [# OF PG(S) OF ABSTRACT] page(s) of abstract
- ☐ [# OF SHEET(S) OF DWGS] sheet(s) of informal drawings
- ☐ executed Declaration and Power of Attorney
- ☐ assignment of the invention to [NAME OF ASSIGNEE]
- ☐ certified copy of [COUNTRY] patent application No. [APPL'N #] which was filed [FILING DATE] from which priority is claimed in the subject case pursuant to 35 U.S.C. § 119
- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement with [# OF REFERENCES] references
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box CPA  
 Commissioner for Patents  
 Washington, D.C. 20231.

Date: October 23, 2002

Hogan & Hartson, LLP  
 500 South Grand Avenue, Suite 1900  
 Los Angeles, California 90071  
 Telephone: 213-337-6700  
 Facsimile: 213-337-6701

Nilka Mickey

Name of person mailing papers

*Nilka Mickey*  
 Signature



Please type a plus sign (+) inside this box: ☒**Exhibit B; page 3 of 4**

PTO/SB/29 (1/98)

Approved for use through 09/30/00, OBM 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to collection of information unless it displays a valid OMB control number.

**CONTINUED PROSECUTION APPLICATION (CPA)  
REQUEST TRANSMITTAL**Submit an original, and a duplicate for fee processing.  
(Only for Continuation or Divisional application under 37 CFR 1.53(d))CHECK BOX, if applicable:  
☐ DUPLICATE

Address to:

Commissioner for Patents  
Box CPA  
Washington, DC 20231

Attorney Docket No.

13761-727  
(New Dkt. No. 89188.0008)

First Named Inventor

Peter C. Brooks

Express Mail Label No.

EL715817126US

Total Pages

3

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application number 09/478,977 filed on January 6, 2000, entitled METHOD AND COMPOSITION FOR ANGIOGENESIS INHIBITION.**NOTES****FILING QUALIFICATIONS:** The prior application identified above must be nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an International application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of the CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.59(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.**ACCESS TO PRIOR APPLICATION:** The filing of the CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.**35 U.S.C. 120 Statement:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a)**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1. ☐ Enter the unentered amendment previously filed on DATE 1.116 FIRST FILED under 37 C.F.R. 1.116 in the prior nonprovisional application.
2. ☐ A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
  - a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:  
[INVENTOR(S) NAME(S) TO DELETE]
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☒ A new power of attorney or authorization of agent (PTO/SB/81) will follow.
5. Information Disclosure Statement (IDS) is enclosed:
  - a. ☐ PTO-1449
  - b. ☐ Copies of IDS Citations


Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box CPA, Washington, DC 20231.

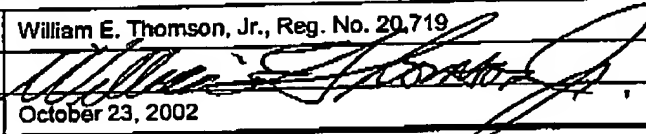
Exhibit  
page 4 of 4

CALCULATION OF FEES							
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE	\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	55	-20	35	LG=\$18 SM=\$9	\$[FEE]	\$830
B	INDEPENDENT CLAIMS FEE**	2	-3	0	LG=\$84 SM=\$42	\$[FEE]	\$ 0
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)						\$ 830
D	MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$280 SMALL ENTITY FEE = \$140	\$ [FEE]
E	BASIC FEE					LARGE ENTITY FEE = \$740 SMALL ENTITY FEE = \$370	\$ 740
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)						\$ 1,370
**LIST INDEPENDENT CLAIMS 1 and 43							

6. ☐ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.
7. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 50 - 1314:
- a. ☐ Fees required under 37 CFR 1.16.
- b. ☐ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.
8. ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
9. ☐ Payment by credit card. Form PTO-2038 is attached.
10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) for a period of [#] months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.
11. ☒ New Attorney Docket Number, if desired 89188.0008  
(Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.)
12. a. ☐ Receipt For Facsimile Transmitted CPA (PTO/SB/29A)
- b. ☒ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)
13. ☐ Other: [DESCRIPTION OF "OTHER"]

**NOTE:** The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

10. NEW CORRESPONDENCE ADDRESS						
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		 26021 PATENT TRADEMARK OFFICE			or <input type="checkbox"/> New correspondence address below	
NAME	William E. Thomson, Jr. HOGAN & HARTSON L.L.P.					
ADDRESS	Biltmore Tower 500 South Grand Avenue Suite 1800					
CITY	Los Angeles	STATE	California	ZIP CODE	90071	
COUNTRY	USA	TELEPHONE	(213) 337-6700	FAX	(213) 337-6701	

11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME	William E. Thomson, Jr., Reg. No. 20,719
SIGNATURE	
DATE	October 23, 2002

(Page 2 of 2)

UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

Exhibit  
page 1 of 4

MAR 11 2003

Bingham McCutchen  
IP Docket Dept.

USE WT

Communications for Patents  
Washington, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/478,977	01/06/2000	1642	1382	13761-727 8988-0007	33	54	2

CONFIRMATION NO. 2450

RAJIV YADAV  
MCCUTCHEN DOYLE BROWN & ENERSEN LLP  
THREE EMBARCADERO CENTER  
SAN FRANCISCO, CA 94111

CORRECTED FILING RECEIPT



\*OC00000009630704\*

Date Mailed: 03/12/2003

Receipt is acknowledged of a CPA in this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

PETER C. BROOKS, HOLLYWOOD, CA;  
JINGSONG XU, ALHAMBRA, CA;  
ERIC PETITCLERC, QUEBEC CITY, CANADA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 02/16/2000

CPA filed on: 10/23/2002

Projected Publication Date: 06/19/2003

Non-Publication Request: No

Early Publication Request: No

## Title

METHOD AND COMPOSITION FOR ANGIOGENESIS INHIBITION

Preliminary Class

RECEIVED

MAR 27 2003

DOCKETING

EPC

1/2/03

C 2 MBL

424

Exhibit C  
page 2 of 4

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

BINGHAM McCUTCHEN

Exhibit C  
page 3 of 4

March 19, 2003

Direct: (650) 849-4950  
antoinette.konski@bingham.com

Bingham McCutchen LLP  
1900 University Avenue  
East Palo Alto, CA  
94303-2223

650.849.4400  
650.849.4800 fax

bingham.com

Boston  
Hartford  
London  
Los Angeles  
New York  
San Francisco  
Silicon Valley  
Singapore  
Walnut Creek  
Washington

William E. Thomson, Esq.  
Hogan & Hartson, L.L.P.  
500 South Grand Avenue, Suite 1900  
Los Angeles, California 90071

**Re: Transfer of Patent Files for University of Southern California**


Dear Mr. Thomson:

Enclosed is the following new mail for files which were recently transferred to you for your client, University of Southern California:

1. **Our Ref. 13761-727. Corrected Filing Receipt.**
2. **Our Ref. 13761-7068. Notice of Publication of Application.**

If you have any questions, please do not hesitate to contact me directly.

Sincerely,

  
Antoinette F. Konski  
AFK:pn

Enclosures: As stated above



page 4 of 4

**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/478,977	01/06/2000	PETER C. BROOKS	13761-727 89188-0007

CONFIRMATION NO. 2450



RECEIVED

JUL 30 2003

RAJIV YADAV  
MCCUTCHEN DOYLE BROWN & ENERSEN LLP  
THREE EMBARCADERO CENTER  
SAN FRANCISCO, CA 94111

Title: METHOD AND COMPOSITION FOR ANGIOGENESIS INHIBITION

Singham McCutchen  
IP Docket Dept.

Publication No. US-2003-0113331-A1  
Publication Date: 06/19/2003

Date Mailed: 06/19/2003

**NOTICE OF PUBLICATION OF APPLICATION**

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

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page 1 of 2

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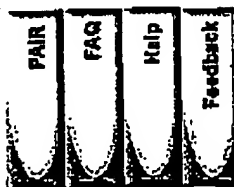
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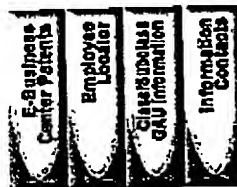


## PATENT APPLICATION INFORMATION RETRIEVAL

Search results for application number: 09/478,977			
Application Number:	09/478,977	Customer Number:	-
Filing or 371(c) Date:	01-06-2000	Status:	Non Final Action Mailed
Application Type:	Utility	Status Date:	05-13-2003
Examiner Name:	HARRIS, ALANA M	Location:	TC 1600 CENTRAL FILES, CM1-11C10
Group Art Unit:	1642	Location Date:	05-13-2003
Confirmation Number:	2450	Earliest Publication No:	US 2003-0113331 A1
Attorney Docket Number:	13761-727	Earliest Publication Date:	06-19-2003
Class/ Sub-Class:	424/141.1	Patent Number:	-
First Named Inventor:	PETER BROOKS, HOLLYWOOD, CA	Issue Date of Patent:	-
Title Of Invention: METHOD AND COMPOSITION FOR ANGIOGENESIS INHIBITION			



## Other Links



Continuity Data

Published Documents

## File Contents History

Number	Date	Contents Description
39	05-13-2003	Mail Non-Final Rejection
38	05-12-2003	Non-Final Rejection
37	03-11-2003	Date Forwarded to Examiner
36	10-23-2002	Continuing Prosecution Application - Continuation (ACPA)
35	03-11-2003	Express Abandonment (for Entry of CPA / RCE / Rule 129)
31	10-23-2002	Request for Extension of Time - Granted

10/31/2003

Exhibit 1  
page 2 of 2

10/31/2003

30	10-23-2002	Workflow - Request for RCE - Begin
29	04-23-2002	Mail Non-Final Rejection
28	04-22-2002	Non-Final Rejection
27	02-21-2002	Examiner Interview Summary Record (PTOL - 413)
26	01-30-2002	Information Disclosure Statement (IDS) Filed
25	02-18-2002	Date Forwarded to Examiner
24	12-19-2001	Response after Non-Final Action
23	01-18-2002	Mail Notice of Informal or Non-Responsive Amendment
22	12-29-2001	Date Forwarded to Examiner
21.1	12-19-2001	Informal or Non-Responsive Amendment after Examiner Action
21	12-19-2001	Response after Non-Final Action
20	10-02-2001	Mail Non-Final Rejection
19	10-01-2001	Non-Final Rejection
18	08-25-2001	Date Forwarded to Examiner
17	08-20-2001	Response to Election / Restriction Filed
16	08-20-2001	Request for Extension of Time - Granted
15	08-21-2001	Mail Restriction Requirement
14	08-21-2001	Requirement for Restriction / Election
13	05-25-2001	CRF is Good Technically / Entered into Database
12	05-02-2001	Date Forwarded to Examiner
11	04-26-2001	Response to a Letter to Comply with the Sequence Rules
10	03-23-2001	Mail Letter Requiring CRF (Unreadable, Non-Compliant, Not Submitted)
9	03-23-2001	CRF Diskette Unreadable / Did Not Comply / Required but Not Submitted
8	07-10-2000	Information Disclosure Statement (IDS) Filed
7	07-03-2000	Case Docketed to Examiner in GAU
6	06-09-2000	Application Dispatched from OIPE
5	06-07-2000	Application is Now Complete
4	02-18-2000	Incomplete Application under Rule 53(b) - Filing Date Assigned
3	02-11-2000	IFW Scan & PACR Auto Security Review
2	02-17-2000	Preexamination Location Change
1	01-12-2000	Initial Exam Team nn

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